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Commissioner For Patents Mail Stop: NEW PATENT APPLICATION P.O. Box 1450 Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL FORM

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Transmitted herewith for filing is the patent application of

Paul Ashmore Inventor(s):

John Matthew Fairhurst Michael Huw Francis Robert Bruce Nicholson

Simon Walsh Barry John Wood

Maintaining Data Access During Failure Of A Controller. For (title):

Enclosed are the following:

| d are th | ne following. |
|-------------|--|
| | Application Cover Page Application Cover Page 18 pages of Specification that consists of, 14 pages of Description, 3 pages of Claims and 1 page of Abstract; Claims and 1 page of Abstract; |
| \boxtimes | 5 sheet of drawings; |
| | Preliminary Amendment; Preliminary Amendment; Priority is hereby claimed from: UK Patent Application Number 0214669.4 filed on 26 June 2002; UK Patent Application Number filed on ; |
| \boxtimes | UK Patent Application Number filed on Patent Application Number Patent Application Number |
| M | Cartified Copy of priority document; |
| | English language transfer and or Form PTO 1449 and cited art, |
| 님 | Information Disclosure Statement and Sover Sheet; Assignment and Recordation Form Cover Sheet; |
| | Assignment |

| Assignment D | Other: | Receipt Postc | ard; | | | | | |
|------------------------|--|--|---|--|--|------------------------|--|--|
| \boxtimes | An assignment of the invention to International Business Machines Corporation is attached. will follow. | | | | | | | |
| Small Entity S | <u>Status</u> | | | | | | | |
| | Applicant claims small entity status. | | | | | | | |
| Fees | | | | | | | | |
| Design Pa | tent Ap | plication | | | | \$ 330.00 | | |
| File | | lication Number Filed | Numb Extra | er | Rate | Basic Fee \$ 750.00 | | |
| Total Claims | | 31 -20 = | 11 | x | \$ 18.00 | \$ 198.00 | | |
| Independent Claims0 | | 5 - 3 = 2 | x | | \$ 84.00 | \$ 168.00 | | |
| Assignment R | ecordal | | 1 | X | \$ 40.00 | \$ | | |
| TOTAL FEES § | | | | | <u>\$ 13</u> | 16.00 | | |
| | A check in the amount of \$ in payment of the filing fee is enclosed. | | | | | | | |
| \boxtimes | Charge \$1316.00 to Deposit Account No. 09-0466 | | | | | | | |
| | The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required for this communication or during the entire pendency of this patent application, or credit any overpayment, to Deposit Account No. 09-0466 | | | | | | | |
| Corresponden | <u>ce</u> | | | | | | | |
| Direct telepho | ne calls | mmunications to Harry F. Sn 203) 944-0245 | Harry Custo Harrii 4 Res Shelto | F. Smir omer No ngton & earch D | th (Reg. No.: 29,683 Smith, LLP vive 06484-6212 | 32,493) | | |